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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/735,992	12/15/2003	Amer Hassan	M1103.70182US00	2966
45840 7590 08/21/2007 WOLF GREENFIELD (Microsoft Corporation) C/O WOLF, GREENFIELD & SACKS, P.C. 600 ATLANTIC AVENUE BOSTON, MA 02210-2206				
			EXAMINER GELAGAY, SHEWAYE	
			ART UNIT 2137	PAPER NUMBER
			MAIL DATE 08/21/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/735,992

Applicant(s)

HASSAN ET AL.

Examiner

Shewaye Gelagay

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11 June 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19, 25-33 and 44-51 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-19, 25-33 and 44-51 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This office action is in response to Applicant's amendment filed on June 11, 2007. New claims 44-51 have been added. Claims 1-19, 25-33 and 44-51 are pending.

Claim Rejections - 35 USC § 101

2. In view of the amendment filed May 16, 2005, the Examiner withdraws the rejection of claims 25-33 under 35 U.S.C. 101.

Response to Arguments

3. Applicant's arguments, filed June 11, 2007, have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Hassan et al. (US 6,031,913) and Rastegar et al. (USPGPUB 2004/0091054).

Claim Rejections - 35 USC § 112

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
5. Claims 2 and 26 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claims 2 and 26 recite "generating the cryptographic key using the first, second, third and forth data", it is not clear if second, third and forth

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data refers to the data that are received as recited in the claim limitation or different data. Appropriate correction is required.

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1-4, 10-15, 19, 25-28 and 44-51 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hassan et al. (hereinafter Hassan) US Patent Number 6,031,913 in view of Rastegar et al. (hereinafter Rastegar) US Publication Number 2004/0091054.

As per claims 1, 10, 25 and 47:

Hassan teaches a method of wirelessly generating a cryptographic key that may be used to encrypt wireless communications between a first host and a second host, the method comprising the steps of:

selecting an initial modulation scheme for wireless transmission between the first host and the second host; (col. 3, line 31-col. 4, line 2)

transmitting via the initial modulation scheme first data to be used in generating the cryptographic key; (col. 3, line 31-col. 4, line 2)

receiving via the second modulation scheme second data to be used in generating the cryptographic key; (col. 3, line 31-col. 4, line 2)

generating the cryptographic key using the first and the second data. (col. 3, line 31-col. 4, line 2)

Hassan does not explicitly transmitting an indication of a second modulation scheme. Rastegar in analogous art, however, discloses transmitting an indication of a second modulation scheme. (page 1, paragraphs 11, 16-18) Therefore it would have been obvious to one ordinary skill in the art to modify the method disclosed by Hassan with Rastegar in order to establish key sequences that depend on physical process with reduced susceptibility to eavesdropping. (Abstract; Hassan)

As per claims 2, 11, 26, 46 and 49:

The combination of Hassan and Rastegar teaches all the subject matter as discussed above. In addition, Hassan further discloses a method wherein the step of receiving further comprises the step of receiving via the second modulation scheme an indication of a third modulation scheme, the method further comprising the steps of:

transmitting via the third modulation scheme third data to be used in generating the cryptographic key and an indication of a fourth modulation scheme; (col. 4, line 3-col. 5, line 3)

receiving via the fourth modulation scheme fourth data to be used in generating the cryptographic key; (col. 4, line 3-col. 5, line 3) and

wherein the step of generating the cryptographic key using the first and the second data further comprises the step of generating the cryptographic key using the first, second, third, and fourth data. (col. 4, line 3-col. 5, line 3)

As per claim 3, 14, 19, 27 and 48:

The combination of Hassan and Rastegar teaches all the subject matter as discussed above. In addition, Hassan further discloses a method comprising the steps of:

determining a desired modulation scheme for wireless communications between the first host and the second host; (Abstract; col. 3, line 1-col. 5, line 3)

encrypting wireless data to be transmitted using the cryptographic key; (Abstract; col. 3, line 1-col. 5, line 3) and

transmitting the encrypted wireless data via the desired modulation scheme.
(Abstract; col. 3, line 1-col. 5, line 3)

As per claim 4, 13 and 28:

The combination of Hassan and Rastegar teaches all the subject matter as discussed above. In addition, Hassan further discloses determining a size of the cryptographic key; (col. 8, lines 54-62) monitoring an amount of data exchanged; (col. 8, lines 54-62; col. 11, line 31-col. 12, line 24) and selecting a final modulation scheme for a final data exchange between the first host and the second host such that an amount of data conveyed by the final modulation scheme added to the amount of data exchanged equals the size of the cryptographic key. (col. 8, lines 54-62; col. 11, line 31-col. 12, line 24)

As per claim 12 and 15:

The combination of Hassan and Rastegar teaches all the subject matter as discussed above. In addition, Hassan further discloses comprising the steps of: receiving modulated information; and demodulating the modulated information via the

next modulation scheme to extract the data. (col. 8, lines 54-62; col. 11, line 31-col. 12, line 24)

As per claims 44 and 50:

The combination of Hassan and Rastegar teaches all the subject matter as discussed above. In addition, Hassan further discloses comprising: randomly selecting the second modulation scheme. (col. 8, lines 5-21)

As per claims 45 and 51:

The combination of Hassan and Rastegar teaches all the subject matter as discussed above. In addition, Hassan further discloses wherein: the first data comprises a first set of bits comprising at least one bit; the second data comprises a second set of bits comprising at least one bit; and generating the cryptographic key using the first and the second data comprises combining the first set of bits and the second set of bits. (col. 3, line 31-col. 4, line 2)

8. Claims 5-7, 16-17 and 29-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hassan et al. (hereinafter Hassan) US Patent Number 6,031,913 in view of Rastegar et al. (hereinafter Rastegar) US Publication Number 2004/0091054 and further in view of Diffie et al. (hereinafter Diffie) US Patent Number 5,371,794.

As per claim 5, 16 and 29:

The combination of Hassan and Rastegar teaches all the subject matter as discussed above. Both references do not explicitly disclose wherein the step of selecting an initial modulation scheme comprises the step of sharing a short key

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established by a public key method, the short key providing an index to the initial modulation scheme. Diffie in analogous art, however, discloses wherein the step of selecting an initial modulation scheme comprises the step of sharing a short key established by a public key method, the short key providing an index to the initial modulation scheme. (col. 10, lines 25-41) Therefore it would have been obvious to one ordinary skill in the art to modify the method disclosed by Hassan and Rastegar with Diffie in order to provide a system that prevents key change messages from being played back, without resort to sequence numbers. (col. 10, lines 65-67; Diffie)

As per claim 6, 17 and 30:

The combination of Hassan, Rastegar and Diffie teaches all the subject matter as discussed above. In addition, Diffie further discloses wherein the step of sharing a short key established by a public key method comprises the step of sharing a short key established by a Diffie-Hellman key exchange method. (col. 10, lines 25-41)

As per claim 7 and 31:

The combination of Hassan, Rastegar and Diffie teaches all the subject matter as discussed above. In addition, Diffie further discloses a key exchange method of sending and receiving messages in a wireless network using certificate digitally signed by a certificate authority. (col. 10, lines 65-67; Diffie)

9. Claims 8-9, 18 and 32-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hassan et al. (hereinafter Hassan) US Patent Number 6,031,913 in view of Rastegar et al. (hereinafter Rastegar) US Publication Number 2004/0091054

and further in view of Kim et al. (hereinafter Kim) US Publication Number 2003/0081690.

As per claim 8, 18 and 32:

The combination of Hassan and Rastegar teaches all the subject matter as discussed above. Both references do not explicitly disclose wherein the step of selecting an initial modulation scheme comprises the step of selecting an initial constellation. Kim in analogous art, however, discloses wherein the step of selecting an initial modulation scheme comprises the step of selecting an initial constellation. (page 3, paragraphs 44-45) Therefore it would have been obvious to one ordinary skill in the art to modify the method disclosed by Hassan and Rastegar with Kim in order to map a predetermined modulation scheme to an initial transmission and retransmissions. (Page 3, paragraph 45; Kim)

As per claims 9 and 33:

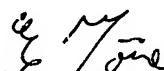
The combination of Hassan and Rastegar teaches all the subject matter as discussed above. Both references do not explicitly disclose wherein the step of selecting an initial modulation scheme comprises the step of selecting an initial bit assignment for a constellation. Kim in analogous art, however, discloses wherein the step of selecting an initial modulation scheme comprises the step of selecting an initial bit assignment for a constellation. (page 3, paragraphs 44-45) Therefore it would have been obvious to one ordinary skill in the art to modify the method disclosed by Hassan and Rastegar with Kim in order to map a predetermined modulation scheme to an initial transmission and retransmissions. (Page 3, paragraph 45; Kim)

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shewaye Gelagay whose telephone number is 571-272-4219. The examiner can normally be reached on 8:00 am to 5:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Emmanuel Moise can be reached on 571-272-3865. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Shewaye Gelagay



EMMANUEL L. MOISE
SUPERVISORY PATENT EXAMINER